

## **Rotherham Schools' Forum**

**Venue: Rockingham Professional Development Centre**

**Date: Friday, 17 March 2017**

**Time: 8.30 a.m.**

### **A G E N D A**

1. Apologies for Absence.
2. Learning Community and Stakeholder Representatives - confirmation and nomination/election (Pages 1 - 2)
3. Minutes of the Previous Meeting held on 13th January, 2017 (Pages 3 - 9)
4. Matters Arising from Previous Minutes
5. Strategic Plan for High Needs Provision and Spend (including financial strategy update) (Pages 10 - 14)
6. National Funding Formula and High Needs National Funding Formula: Department for Education consultation (Stage 2) (Pages 15 - 30)
7. Date and time of the next meeting

Future meetings of the Rotherham Schools' Forum shall take place at the Rockingham Professional Development Centre, commencing at 8.30 a.m. on the following dates:-

- Friday 28<sup>th</sup> April, 2017
- Friday 16<sup>th</sup> June, 2017

<b>Learning Community Representatives: -</b>		<b>Voting (and phase)</b>	<b>Substitute: -</b>
<b>Aston</b>	Deborah Ball (Treeton CE – becoming academy from May 2017)	Voting – primary matters	Donna Humphries (Aston Hall – academy from August 2016)
<b>Brinsworth</b>	John Henderson (Whiston Worrygoose – academy from September 2013)	Voting – primary matters	
<b>Clifton</b>	Lynne Pepper (Herringthorpe Infant – maintained school)	Voting – primary matters	Mark Young (Eastwood Village - academy from September 2015)
<b>Dinnington</b>	Rebecca Staples (Dinnington High - academy from February 2015)	Voting – secondary matters	Chis Eccles / Ian Holborn (Dinnington High - academy from February 2015)
<b>Maltby</b>	David Sutton (Maltby – academy from January 2010)	Voting – secondary matters	
<b>Oakwood</b>	David Naisbitt (Oakwood – academy from December 2013)	Voting – secondary matters	Ann Abel
<b>Rawmarsh</b>	Kay Sherburn (Rawmarsh Monkwood Primary School – academy from October 2014)	Voting – primary matters	
<b>St. Bernard's</b>	Terry Mahon (St Bernard's – academy from July 2012)	Voting – secondary matters	
<b>St. Pius</b>	Helen McLaughlin (Wath Our Lady and St. Joseph's RC Primary)	Voting – primary matters	
<b>Swinton</b>	John Morrison (Swinton Community School – academy from October 2016)	Voting – secondary matters	John Woffenden
<b>Thrybergh</b>	Siobhan Kent (Thrybergh Academy and Sports College – academy from October 2013)	Voting – secondary matters	
<b>Wales</b>	Pepe Di'lassio (Wales High School – academy from October 2010)	Voting – secondary matters	Sue Hustler
<b>Wath</b>	Sally Armstrong (Wentworth CE – becoming academy from April 2017)	Voting – primary matters	David Silvester (Wath CE - academy from March 2017)
<b>Wickersley</b>		Voting – primary matters	
<b>Wingfield</b>	Paula Dobbin (Redscope Primary – maintained school)	Voting – primary matters	Joanne Cook (Greasbrough Primary academy from July 2015)
<b>Winterhill</b>	Joanne Parkin (Winterhill – academy from February 2016)	Voting – secondary matters	

<b>Stakeholders: -</b>			<b>Substitute: -</b>
<b>Special Schools</b>	Julie Mott (Newman School)	Voting	
<b>Maintained Nursery Schools</b>	Peter Gerard	Voting	
<b>Pupil Referral Units</b>	Paul Bloor	Voting	
<b>Teaching Schools</b>	David Ashmore (Wickersley SSC – academy from March 2014)		
<b>PVI Early Years</b>	Steve Scott	Voting (shared with Early Years??)	Joanne Grey
<b>Primary Governor</b>	Sue Mallinder		
<b>Secondary Governor</b>	Alan Richards		
<b>Teaching Trade Unions</b>	Susan Brook		Fred Sprague
<b>Support Staff Trade Union</b>	GMB and UNISON (Michael Stowe)		UNISON (Martin Badger)
<b>Diocese of Sheffield</b>	Geoff Gillard		
<b>Diocese of Hallam</b>			
<b>School Business Managers</b>	Laura Redmile (Wales High School – academy from October 2010)		Susan Hustler (Wales High School – academy from October 2010)
<b>Colleges</b>	Gill Alton (Rotherham College of Arts and Technology)		Richard Williams (Thomas Rotherham College)

**ROTHERHAM SCHOOLS' FORUM  
FRIDAY, 13TH JANUARY, 2017**

**Present:-** Mr. D. Naisbitt (Oakwood High School - in the Chair); Learning Community representatives:- Mrs. D. Ball (Aston Learning Community), Mrs. P. Dobbin (Redscope Primary School), Mrs. L. Pepper (Clifton Learning Community), Mr. P. Di'lasio (Wales High School) and Mr. R. Wheatcroft (Brinsworth Academy).

Other stakeholders:- Mrs. J. Mott (Special Schools); Mrs. S. Brook (NASUWT); Mr. D. Ashmore (Teaching Schools); Mr. G. Gillard (Sheffield Diocese) and Mr. M. Badger (UNISON).

Also in attendance:- Mr. M. Chambers (RMBC Finance) and Mrs. V. Njelic (RMBC Finance). Together with Mr. D. Fenton (RMBC Children and Young People's Services – for Minute No. 24) and Mrs. A. Chambers (RMBC Children and Young People's Services – for Minute No. 28)

Apologies for absence were received from:- Mr. T. Mahon (St. Bernard's RC High School), Mrs. R. Staples (Dinnington Comprehensive School), Mr. P. Bloor (Pupil Referral Units), Mr. M. Young (Eastwood Village School), Mr. S. Scott and Mrs. J. Gray (Day Nurseries - Private, Voluntary, Independent) Mr. P. Gerrard (RMBC Early Years and Childcare Service), Mr. J. Morrison and Mrs. R. Hibberd (Swinton Academy), Mr. P. Baylis (FE Colleges), Mr. A. Richards (Secondary Governors) and Mr. F. Sprague (NUT).

**21. LEARNING COMMUNITY AND STAKEHOLDER REPRESENTATIVES - CONFIRMATION AND NOMINATION/ELECTION**

Details were submitted of the current membership of the Rotherham Schools' Forum for the 2016/2017 academic year. The Learning Communities would be asked to confirm the membership in order to ensure accuracy and maintain the balance of academy and non-academy schools being represented on the Schools' Forum.

Agreed:- (1) That there shall be continual review of membership to ensure that the balance of academy and non-academy schools represented on the Rotherham Schools' Forum accurately reflects the balance of the sectors in the Rotherham Borough area.

(2) That the membership details shall in future include the type of school being represented.

**22. MINUTES OF THE PREVIOUS MEETING HELD ON 9TH DECEMBER, 2016**

Agreed:- That the minutes of the previous meeting of the Rotherham Schools Forum, held on 9th December, 2016, be approved as a correct record.

**23. MATTERS ARISING FROM PREVIOUS MINUTES**

Discussion took place on the following items from the minutes of the previous meeting:-

(1) (Minute 15 – High Needs - Update) – the Service Leader, Inclusion (Rotherham MBC) will submit a first draft report about the allocation and use of the High Needs Block funding to the next meeting of the Rotherham Schools' Forum.

(2) (Minute 18 – 2017/2018 De-Delegated Services – Guidance) – it was noted that there was no specific direction for the Rotherham Schools' Forum to undertake the annual consideration of funding for the various services which may be de-delegated. It was confirmed that any request for items/services to be funded in this manner would have to be included on a meeting agenda by a voting member, for consideration by the full Schools' Forum membership.

#### **24. CONTINGENCY FOR PUPIL GROWTH FUNDING ALLOCATIONS 2017/18**

Consideration was given to a report, presented by the Service Lead, School Planning, Admissions and Appeals, describing the proposal to increase the number of school places available to meet current and projected demand. The submitted report outlined the transitional funding (7/12 funding for maintained Schools and 12/12 funding for Academies) required to support the creation of additional school places in the Rotherham Borough area until school census funding generates the income for new pupils.

The detailed proposals were:-

School	Amount
Wath Church of England Primary	£56,076 based on 15 pupils
Listerdale Primary	£56,076 based on 15 pupils
Sandhill (Rawmarsh) Primary	£56,076 based on 15 pupils
Brinsworth Howarth Primary	£32,711 based on 15 pupils
Cortonwood Church of England Infant	£56,076 based on 15 pupils
Brampton Ellis Church of England Primary (two classes)	£112,152 based on two cohorts of 15 pupils each
Wickersley School and Sports College	£62,556 based on 30 pupils
Laughton Junior and Infant	£36,491 based on 30 pupils
<b>Total</b>	<b>£468,214</b>

nb – refer also to the related, supplementary decision of the Schools' Forum on this issue, as recorded at Minute No. 25(a) below.

Agreed:- (1) That the report be received and its contents noted.

(2) That 2017/18 allocations specified in the submitted report be approved and funded from the Pupil Growth element within the Schools Block.

(3) That a further report be submitted to a future meeting of the Rotherham Schools' Forum concerning the requests for transitional funding for 2018/19.

(4) That a progress report about the provision of a new primary school for the Waverley community be submitted to the meeting of the Rotherham Schools' Forum to be held on 28th April, 2017.

## **25. ROTHERHAM SCHOOLS FORUM - DECISION-MAKING**

Consideration was given to a summary sheet of decisions required of the Rotherham Schools' Forum, as follows:-

(a) Contingency for Pupil Growth Funding Allocations 2017/18 – further to Minute No. 24 above, the Schools' Forum was informed that the overspent sum of £122,679 (due to an additional allocation for diseconomies of scale to Eastwood Village School – as agreed at the Schools' Forum's meeting on 4th March, 2016), should be funded from the 2017/2018 budget.

Agreed:- That, in addition to the budget of £468,214 (Minute No. 24 above refers), the 2017/2018 budget for the Pupil Growth element of the Schools Block be increased by the forecast overspend to £590,893.

(b) Education Services Grant – referred to at Minute No. 26 below.

(c) Dedicated Schools Grant – Schools Block Contribution to the Admissions Service - referred to at Minute No. 27 below.

(d) Schools in Financial Difficulty, de-delegated funding – (i) it was agreed by the representatives of the maintained schools that the funding should not be de-delegated in 2017/2018; and (ii) it was also agreed by the Schools' Forum that there is no requirement for any review of this decision and that any alteration of this decision must first necessitate a case presented by a representative of a maintained school being considered at a full meeting of the Rotherham Schools' Forum.

(e) Trades Unions' Facilitator, de-delegated funding (funding of this issue had ceased on 31 March 2015) – acknowledging the previous expressions of support for this issue, it was noted that the Trades Unions would wish to consult again with the maintained schools, particularly the primary schools, although that consultation process could not be completed to enable consideration of any funding for the 2017/2018 financial year. It was noted that the Trades Unions still intended to

consult with the maintained schools and inform them of the cost per pupil, prior to further consideration of this matter at the next meeting of the Rotherham Schools' Forum to be held on 10th March, 2017.

(f) The decisions recorded at the previous meeting on 9th December, 2016 (Minute No. 19 refers) were also noted.

## **26. EDUCATION SERVICES GRANT (ESG)**

Further to Minute No. 17 of the meeting of the Rotherham Schools' Forum held on 9th December, 2016, consideration was given to a report presented by the Head of Finance (CYPS) concerning the cessation of the Education Services Grant on 31st March, 2017. The background to this matter, transitional arrangements, the key issues for the Education budget and guidance from the Government Department for Education were all summarised within the submitted report.

The report stated that the Retained Duties element of the Education Services Grant would continue and be contained within the main Schools Block, as additional funding. The recommendation was to transfer this retained element to the Council to part fund the statutory functions that the Council previously funded through the wider Education Services Grant (Retained and General).

A further document was distributed at the meeting, summarising the activities and functions covered by the Education Services Grant.

The recommendation of the submitted report asked the Rotherham Schools' Forum to agree to the transfer of £646,000 to the Local Authority from the Schools Block in the 2017/2018 financial year.

Members of the Rotherham Schools' Forum expressed the views that there should be more detailed analysis and scrutiny of the activities and functions covered by the Education Services Grant, prior to any decision being made by the Schools' Forum.

Agreed:- (1) That the report be received and its contents noted.

(2) That the Head of Finance (CYPS) provide further information to the Schools' Forum members attending today's meeting, about the activities and functions covered by the Education Services Grant and they be requested to respond to the Head of Finance (CYPS) and to the Chair of the Rotherham Schools' Forum with their considered views on this matter, especially if there is specific opposition to the recommended transfer of £646,262 to the Local Authority (and Schools' Forum members should inform the Chair by 20 January 2017 if they do not wish to accept the transfer).

## **27. DEDICATED SCHOOLS GRANT - SCHOOLS BLOCK CONTRIBUTION TO ADMISSIONS SERVICE**

Consideration was given to a report, presented by the Head of Finance (CYPS), concerning the request from the Local Authority that schools provide a contribution totalling £154,000, from the Schools Block of the Dedicated Schools Grant, for the School Admissions Service and co-ordination of the school admission arrangements in the Rotherham Borough area.

A further decision was required of maintained schools, with the Local Authority requesting a contribution of £84,000 in the 2017/2018 financial year.

It was noted that this request for funding affected only the 2017/2018 financial year. In future financial years, such funding will be included in the new Central Services Block allocation of the Dedicated Schools Grant.

The Schools' Forum also noted that the special schools were not affected by this issue.

Agreed:- (1) That the report be received and its contents noted.

(2) That a maximum contribution, totalling £154,000, be approved in respect of the funding of the School Admissions Service in the 2017/2018 financial year.

(3) That the representatives of the maintained schools be requested to consider a possible contribution of £84,000 in the 2017/2018 financial year, for the School Admissions Service.

## **28. EARLY EDUCATION FUNDING RATE 2017/18**

Further to Minute No. 8 of the meeting of the Rotherham Schools' Forum held on 14th October, 2016, consideration was given to a report, presented by the RMBC Early Years and Childcare Manager, concerning the outcome of the Government Department for Education consultation requiring local authorities to allocate the funding to early education providers based on a local single funding formula. The report stated that local authorities were required to fund all providers at the same base rate and must include a deprivation supplement for three and four year-olds' early education funding, with the option of including additional supplements. Local Authorities were permitted to retain 5% of the three and four year-olds' budget allocation to fund central services. The early years national funding formula operational guide (December 2016) stated that:-

"Schools' forums must be consulted on changes to local early years funding formulae, including agreeing central spend by 28th February, although the final decision rests with the local authority".

Agreed:- (1) That the report be received and its contents noted.



(2) That the decisions made and approved by the RMBC Children and Young People's Services Departmental Leadership Team regarding the Early Years 2017/18 allocation, as detailed within the appendix to the report now submitted, be noted.

**29. EARLY YEARS - UPDATE**

The Rotherham Schools' Forum noted that this Early Years update item was contained within the subject matter of Minute No. 28 above.

**30. HIGH NEEDS - UPDATE**

In accordance with Minute No. 23(1) above, it was agreed that a first draft report about the allocation and use of the High Needs Block funding would be submitted to the next meeting of the Rotherham Schools' Forum, to be held on 10th March, 2017.

**31. FORMULA FUNDING 2017/2018**

Discussion took place on proposed changes to the Formula Funding for Rotherham schools for the 2017/2018 financial year. The Schools' Forum noted that:-

- under the current Formula Funding, secondary-age pupils receive more funding per head than primary-age pupils;
- a reduction in the basic entitlement rate per pupil was required in order to achieve the necessary savings on the High Needs Block;
- the suggested maximum reduction of 1.5% equally for all schools would achieve savings of approximately £3 millions in the 2017/2018 financial year, although further savings would be required in future years;
- it was acknowledged that the increasing demands being placed upon the High Needs Block would necessarily limit the amount of savings capable of being achieved.

The Schools' Forum membership requested additional information about this matter, if possible including the individual detail for each school.

Agreed:- That this matter be considered further at the next meeting of the Rotherham Schools' Forum, to be held on 10th March, 2017.

**32. STAGE 2 CONSULTATIONS - NATIONAL FUNDING FORMULA AND HIGH NEEDS FUNDING FORMULA**

The Rotherham Schools' Forum learned that the Government Department for Education was currently undertaking a process of public consultation about the following two issues:-

- National Funding Formula
- High Needs Funding Formula

The closing date for responses to the consultation documents, for both issues, was Wednesday, 22nd March, 2017. It was noted that the impact of any alterations to both Funding Formula would take effect at the beginning of the 2018/2019 financial year.

Agreed:- That the responses being prepared by the Local Authority, to the Department for Education's consultations on both of the above issues, be reported to the next meeting of the Rotherham Schools' Forum, to be held on 10th March, 2017, enabling the Schools' Forum members to consider whether they would wish to formulate their own individual responses.

### **33. DATE AND TIME OF THE NEXT MEETING**

Agreed:- (1) That the next meeting of the Rotherham Schools' Forum take place on Friday 10<sup>th</sup> March, 2017, at the Rockingham Professional Development Centre, commencing at 8.30 a.m.

(2) That future meetings of the Rotherham Schools' Forum shall take place at the Rockingham Professional Development Centre, commencing at 8.30 a.m. on the following dates:-

- Friday 28<sup>th</sup> April, 2017
- Friday 16<sup>th</sup> June, 2017

## SCHOOLS FORUM REPORT – 17 March 2017

**Subject: DfE consultation – High Needs National funding formula Stage 2.**

### Summary

As part of the DfE's move towards national funding formulas for DSG, replacing the largely historically based allocations that apply currently, the high needs block of the DSG is moving towards a national formula from 2018/19. Unlike the schools block, this will be a national funding formula at local authority level rather than at school level.

Full details of the stage 2 consultation, launched on 14 December 2016, can be found on the DfE's website: [HERE](#)

### Proposals

EFA are proposing a new formula to calculate high needs block based on the following factors:

- Historic spend
- Basic entitlement
- Proxy factors of:
  - Population
  - Deprivation
    - Free school meals eligibility
    - IDACI
  - Low attainment
    - Key Stage 2
    - Key Stage 4
  - Health and Disability
    - Children in bad health
    - Disability living allowance
- An area cost adjustment applied to the proxy factors and basic entitlement.

The main purpose of this stage 2 consultation is to now try and determine the different values and weightings for each of these formula elements.

The **historic spend factor** will make up 50% of the baseline amount – about half of the total needs allocation would be allocated according to existing spending patterns.

**The basic entitlement** element is a flat rate per pupil in a special school or post-16 institution to mirror what mainstream schools receive through the schools national funding formula for their pupils with high needs. This currently varies between local authority formulae and between phases therefore it is proposed to keep to a simple standard amount of £4,000.

The remainder of the high needs funding block will be distributed through the remaining **proxy factors**, according to proposed weightings as set out below. These weightings are suggested after analysis of funding for SEN and disability, which comprises about 90% of total spending, and those that are relevant to alternative provision which comprises about 10%.

Regarding the **population factor**, analysis suggests that about 2.8% of the overall population has a statement of SEN or an education, health and care (EHIC) plan. To reflect this association between population and incidence of high needs, it is proposed that the population factor weighting is set at **50%**.

As mentioned above 10% of high needs funding is spent on alternative provision and that, of all the factors other than population, **deprivation** is most closely correlated to the need for alternative provision because of school exclusions. Because of this, it is proposed to give proportionately more weighting to the deprivation factor, accounting for **20%** of the amount allocated through the population and other proxy indicators.

It is proposed to allocate **15%** of the amount allocated through **the low attainment** part of the formula based on low attainment data at key stages 2 and 4, with equal weighting given to each.

Similarly, it is proposed to allocate **15%** through the **health and disability** factors, with half allocated based on the children in bad health data from the 2011 population census and half using the latest disability living allowance data.

### **Adjustments**

An area cost adjustment will be applied to all the factors in the formula except those based on historic spending. London authorities will be those seeing an increase.

It is proposed that the **import/export adjustment** will be the net difference in pupil and student numbers, multiplied by £6,000, resulting in an increase for the importing authorities and a decrease for the exporting authorities.

The final proposed adjustment is a **funding floor** such that local authorities that would otherwise lose funding under the other factors in the formula are protected.

Illustrative allocations for the first year of the formula have been published.

Appendix 1 is the calculation of the total high needs block of funding at national level, used for illustrative allocations to LAs.

Appendix 2 is the high needs funding formula illustrative allocation for Rotherham

## Appendix 1

## High needs national funding formula (HN NFF): National details

This page explains:

- how the total national high needs funding is calculated
- how the funding is distributed through the HN NFF factors

## Cell colour guide

Input data
Calculation
Explanation

		Explanation of amounts and calculations
LA baselines total [a]	£5,694,074,633	This is the total 2016-17 baselines (which form the basis of local authorities' 2017-18 high needs allocations), as published in July 2016. This total excludes funding for City of London and Isles of Scilly.
NMSS and SPI place funding baseline [b]	£39,664,000	This is the total amount of £6,000 per place paid in 2016-17 to NMSS and SPIs that we transfer in to the total high needs funding for distribution through the formula so that local authorities are funded for the placements they make in these institutions.
Transfer of funding to schools block [c]	-£91,875,460	This is a transfer to the schools national funding formula to reflect the change in the funding of pupils in special units or resourced provision in mainstream schools.
<b>Total high needs funding [d] = [a] + [b] + [c]</b>	<b>£5,641,863,173</b>	<b>This is the total quantum of funding for the HN NFF that is used in these illustrative allocations.</b>

Basic entitlement factor funding [e]	£472,744,601	This is the total funding allocated through the basic entitlement factor.
Historic spend factor funding [f]	£2,548,694,578	This is the total funding allocated through the historic spend factor.
Hospital education funding [g]	£72,649,416	Hospital education funding is included in these illustrative allocations on the basis of local authorities' most recent section 251 budget return, which shows planned spending on hospital education in 2016-17. This amount is deducted from the total and added back to each local authority's national funding formula allocations after the rest of the formula has been calculated.
<b>Remaining funding to be distributed through proxy factors [h] = [d] - [e] - [f] - [g]</b>	<b>£2,547,774,578</b>	This is the total funding remaining to be distributed through the proxy factors, as detailed below.

Factor	Weight	Factor total	Description of measure used For further details refer to the technical note
Population [i] = 50% * [h]	50.0%	£1,273,887,289	This is the total local authority age 2-18 population projection for mid-2018 as published by ONS.
Deprivation factor: FSM [j] = 10% * [h]	10.0%	£254,777,458	This is the number of pupils eligible for free school meals known to be resident in each local authority in the January 2016 school census data.
Deprivation factor: IDACI [k] = 10% * [h]	10.0%	£254,777,458	This factor is split into subtotals for IDACI bands A-F totalling 10%. These are Band A: 1.2%, Band B: 2.3%, Band C: 1.6%, Band D: 1.9%, Band E: 1.6%, Band F: 1.4%. The pupils numbers are constructed from the ONS 2-18 mid-2014 population estimates.
Health and disability factor: Children in bad health [l] = 7.5% * [h]	7.5%	£191,083,093	This is the number of children in each local authority identified in the 2011 Census as having bad or very bad health.
Health and disability factor: Disability [m] = 7.5% * [h]	7.5%	£191,083,093	This is the number of children in each local authority identified as receiving disability living allowance (DLA) as at February 2016 (published August 2016), rounded to the nearest ten.
Low attainment factor: KS2 low attainment [n] = 7.5% * [h]	7.5%	£191,083,093	This is the total number of pupils resident in each local authority not attaining level 3 in the KS2 reading test in the years 2011-2015.
Low attainment factor: KS4 low attainment [o] = 7.5% * [h]	7.5%	£191,083,093	This is the total number of pupils resident in each local authority not attaining five or more A* to G grades in the years 2011-2015.
<b>Total of proxy factors [p] (= [h]) = [i] + [j] + [k] + [l] + [m] + [n] + [o]</b>	<b>100.0%</b>	<b>£2,547,774,578</b>	

## Appendix 2

Select LA name (drop-down)	Rotherham
Region	YORKSHIRE AND THE HUMBER
LA code	372
(A) Basic entitlement factor (9%)	£2,596,000
(B) Historic spend factor (40%)	£11,079,822
(C) Population factor (21%)	£5,897,389
(D) FSM factor (5%)	£1,433,990
(E) IDACI factor (6%)	£1,638,160
(F) Bad health factor (4%)	£1,181,180
(G) Disability factor (5%)	£1,283,583
(H) KS2 low attainment factor (5%)	£1,390,012
(I) KS4 low attainment factor (4%)	£1,155,937
(J) Funding floor factor (0%)	£0
(K) Hospital education funding (0%)	£0
NFF allocation before import/export adjustment (100%)	<b>£27,656,073</b>
(L) Import/export adjustment (1%)	£186,000
Illustrative high needs NFF final allocation	<b>£27,842,073</b>
Illustrative high needs NFF year 1 allocation	<b>£25,606,433</b>

**Total gains** due under the High Needs NFF = £2,900,429

**Year 1 gains** available up to 3% = £664,789

### Further consideration

It is also proposed that in 2018-2019 local authorities are able to transfer funds from the funding that schools are due to receive through the schools formula to their high needs budget. However local authorities would have to get the agreement of schools forum and a majority of primary and/or secondary schools and academies (with transfers confined to the primary and secondary elements of the schools block as agreed by phase). If this is agreed, the degree of movement in 2017-2018 will be monitored to consider whether there should be a limit in 2018-2019, amounting to no more than say, 2% or 3% of the high needs block allocation.

## Summary of Questions

Question 1 – in designing our national funding formula, we have taken careful steps to balance the principles of fairness and stability. Do you think we have struck the right balance?

(Respondents are asked to bear in mind with Q1 and Q2 that funding is being redistributed. Any money reallocated into one factor will have to come from another.)

Question 2 – We are proposing a formula comprising a number of formula factors with different values and weightings. Do you agree with the following proposals?

- Historic spend factor – to allocate to each local authority a sum equal to 50% of its planned spending baseline
- Basic entitlement - to allocate to each local authority £4,000 per pupil

Question 3 – we propose to use the following weightings for each of the formula factors listed below, adding up to 100%. Do you agree?

- Population – 50%
- Free school meals eligibility – 10%
- IDACI – 10%
- Key stage 2 low attainment – 7.5%
- Key stage 4 low attainment – 7.5%
- Children in bad health – 7.5%
- Disability living allowance – 7.5%

Question 4 – Do you agree with the principle of protecting local authorities from reductions in funding as a result of this formula? This is referred to as a funding floor.

Question 5 – Do you support our proposal to set the funding floor such that no local authority will see a reduction in funding, compared to their spending baseline?

Question 6 – Do you agree with our proposals to allow limited flexibility between schools and high needs budgets in 2018-19?

Question 7 – Do you have any suggestions about the level of flexibility we should allow between schools and high needs budgets in 2019-20 and beyond?

Question 8 – Are there further considerations we should be taking into account about the proposed high needs national funding formula?

Question 9 – Is there any evidence relating to the eight protected characteristics as identified in the Equality Act 2010 that is not included in the Equalities Analysis impact Assessment and that we should take into account?

\*age, disability, gender reassignment, pregnancy & maternity, race (including ethnicity), religion or belief, sex, sexual orientation

## SCHOOLS FORUM REPORT

**Subject: DfE consultation - National funding formula for Schools Stage 2.**

### Summary

The government has launched Stage 2 of the National Funding Formula for Schools setting out detailed proposals for the design of the new NFF for schools and the central services block for local authorities.

This consultation seeks views on the detailed proposals and builds on the consultation launched in March 2016. It is open until 22 March 2017 and the outcome will be published in June.

### The proposals

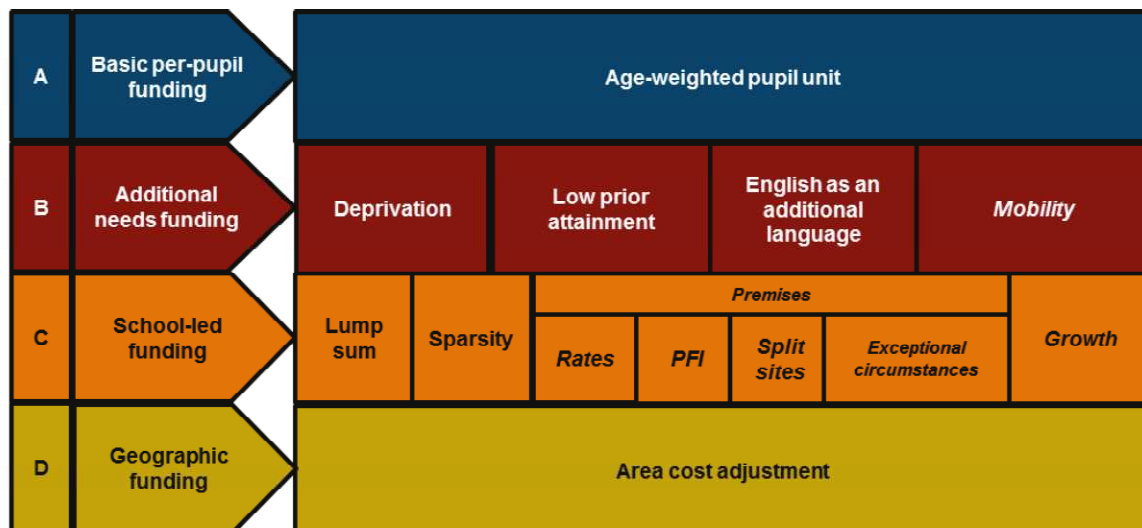
The main consultation paper together with an executive summary and detailed tables is available [HERE](#) The key features of the proposals are:

- The National Funding Formula (NFF) will be introduced from 2018-19. In 2018-19 (only) a notional NFF will be used to calculate the Schools Block for LAs. Once allocated to the LA school budgets can then be distributed to schools according to the locally agreed formula.
- The NFF ( a 'hard' formula) will then be used to allocate funding to schools from 2019-20.
- The NFF will continue to feature a Minimum Funding Guarantee (MFG) at -1.5% per pupil.
- To ensure stability there will be a 'funding floor' so that no school will face a reduction of more than 3% per pupil overall as a result of the formula.
- Gains will be capped at 3% per pupil in 2018-10 and 2.5% in 2019-20. The cap levels from 2019-20 onwards will be decided at next spending review.
- The pupil premium continues in its current form
- A new 4<sup>th</sup> Block of DSG for central school services to include LA responsibilities previously funded through centrally retained DSG (i.e. admissions). Ongoing responsibilities are to be funded on a per-pupil factor and an element according to deprivation. .
- The LA will continue to make decisions about early years, high needs and the new central school services blocks.



- The Schools Block will be ring fenced but with some limited ability to move between the Schools and High Needs blocks with the explicit agreement of Schools Forum and the majority of schools.
- The NFF will use the original proposed 12 factors plus addition of a Mobility factor (which will be allocated on a historic basis based on what LAs allocated in previous year).

**Table 1**



- School-led factors for Rotherham are premises factors rates, PFI, the lump sum and growth fund. These factors will be allocated on the basis of historic spend in 2018-19, but with an adjustment to the PFI factor so that it is automatically updated in line with inflation, using the RPIX measure.
- The area Cost Adjustments (ACA) have been used to recognise variation in labour market costs – Rotherham’s area costs adjustment is weighted at 1.
- The NFF will maintain the national average distribution of funding between the primary and secondary phases. This means that the formula would allocate 29% more funding overall to secondary compared to primary a significant shift from Rotherham’s current 42% ( a 13% shift).
- There are also significant shifts between formula factors when compared with Rotherham’s current distribution via LA formulae.
- Table 2 shows the proposed weightings (the percentage of the total budget spent on each factor) and total spend through each factor in the national funding formula. Also included are the individual factor values (i.e. the amount per pupil or school that follow from the proposed weightings).

Table 2

Figure 3: The factor values and weighting we propose for the national funding formula						
Factor		Proposed weighting for the national funding formula	Total we propose to spend through factor in the formula. NB These include area cost adjustment funding		Per-pupil/school funding under the proposed national funding formula. NB These exclude area cost adjustment funding.	
					Primary	Secondary
Basic per-pupil funding (£ per pupil)		72.5%	£23,255m		KS1	KS3 £3,797
					KS2	KS4 £4,312
Deprivation (£ per pupil)	Ever6 FSM	9.3%	£1,746m	£2,985m	£540	
	Current FSM				£785	
	IDACI A		£1,239m		£980	
	IDACI B				£1,225	
	IDACI C				£575	
	IDACI D				£810	
	IDACI E				£420	
	IDACI F				£600	
Low prior attainment (£ per pupil)		7.5%	£2,394m		£1,050	£1,550
English as an additional language (£ per pupil)		1.2%	£388m		£515	£1,385
Mobility (allocated to LAs on basis of historic spend)		0.1%	£23m		N/A	
Lump sum (£ per school)		7.1%	£2,263m		£110,000	£110,000
Sparsity (£ per school)		0.08%	£27m		£0 - £25,000	£0 - £65,000
Premises (allocated to LAs on basis of historic spend)	Rates	1.8%	£569m		N/A	
	PFI					
	Split sites					
	Exceptional circumstances					
<i>Area cost adjustment</i>			£792m		<i>A multiplier that is applied to certain factors. Shown in italics because it is already included in the total spend through each factor.</i>	
Explicit spend on growth (allocated to LAs on basis of historic spend)		0.5%	£167m		N/A	
<b>Total</b>			£32,071m			

## Summary of proposals in Table 2

- To secure a funding system which relates to the characteristics of the pupils in the school and is matched transparently to need
- Maximise pupil-led factors and reduce school-led factors. The consultation proposal is to allocate 91% of total funding through pupil-led factors (currently Rotherham allocates 90%). Although the percentage split proposal is 73% basic-per pupil and 18% additional needs currently Rotherham's is 84% and 6% respectively.
- Funding allocated through the Additional needs factors will increase and the basic per-pupil funding will decrease
- Deprivation factor will be the largest of the additional factors and will be allocated on a combination of free school meals (ever 6) and the income deprivation affecting children index (IDACI)
- The lump sum has been set at £110,000 lower than the current average.

The following tables compare the proposed per-pupil/school funding values following implementation of the NFF and Rotherham's 2016-17 current values.

### Basic Amount for each Pupil Some for Additional Needs

The consultation proposal is that the basic entitlement amount that every pupil attracts to their school (currently the AWPU) should remain the largest formula factor. There are wide variations of basic funding across the different LA's.

The consultation proposals to spend approximately 73% of the total schools block, which is less than current LA spend. (Rotherham currently spends allocates 84% ) A corresponding change is proposed to increase the amount spent through the additional needs factor

**Table 3**

Basic per pupil funding	Illustrative NFF	Rotherham	% age change
Primary R - Y6	£2,712.00	£3,147.00	-14.00%
KS2	£3,797.00	£4,985.00	-24.00%
KS3	£4,312.00	£5,190.00	-17.00%

## Increasing Total Spend on Additional Needs Factors

The 4 additional needs factors used by the LA's in their formulae are deprivation, low prior attainment, English as an additional language and mobility. Mobility will be allocated on a historic spend basis initially.

Evidence points to pupils eligible for one of these factors being likely to face barriers in their education which can impact on their future attainment.

The consultation seeks to support schools as they work to break the link between these factors and attainment. The NFF proposes significant investment in the additional needs factors as the way forward. The proposal to increase the weighting of the additional needs factors to 18% of the total schools block (Rotherham currently spends 6%)

### Deprivation

Deprivation will be the largest of the additional factors and as shown on Table 1 will be allocated on a combination of free school meals (ever 6) and the income deprivation affecting children index (IDACI). Half of the proposed 18% total schools block to be allocated via additional needs factors will be driven by the deprivation factors. Currently Rotherham allocates 4% of the total schools block via free school meals (ever 6) at a primary value of £548 per pupil and secondary value of £625 per pupil. The DfE propose 9.3% of the schools block.

### Increase Funding Distributed According to Low Prior Attainment

**Table 4**

Factor per pupil	Illustrative NFF Primary	Illustrative NFF Secondary	Rotherham current Primary	Rotherham current Secondary	% age change	% age change
Low prior attainment	£1,050.00	£1,055.00	£537.00	£437.00	95.00%	225.00%

Propose to distribute 7.5% - Rotherham currently 2.1%

### Increase Funding Distributed via English as an Additional Language

**Table 5**

Factor per pupil	Illustrative NFF Primary	Illustrative NFF Secondary	Rotherham current Primary	Rotherham current Secondary	% age change	% age change
EAL	£515.00	£1,385.00	£367.00	£367.00	40.00%	360.00%

Propose to distribute 1.2% - Rotherham currently 0.35%

## Lump Sum factor

This factor is intended to contribute towards the fixed costs that do not vary with pupil numbers, and to give schools (especially small schools) certainty that they will receive a certain amount each year in addition to their pupil-led funding.

There is a wide variation in the amounts of lump sum paid by LA's across the country and the proposal is to set the lump sum at a lower level than the current national average so that more funding can be directed through the pupil-led factors.

**Table 6**

Factor per pupil	Illustrative NFF Primary & Secondary	Rotherham current Primary & Secondary	% age change
Lump Sum	£110,000.00	£117,000.00	-6.00%

## The impact in Rotherham

Overall Rotherham schools and academies would lose £645k (a 0.35% decrease) when the formula is fully implemented in 2019-2020. (Regional illustrative impact Appendix A)

In comparison with the current Rotherham formula the NFF allocates more for deprivation which is reflected in the outcome for all Rotherham schools listed in Appendix B.

Also reflected is the national average distribution of funding between the primary and secondary phases which has been retained at 1:1.29. Where the current primary to secondary ratio is greater (as in Rotherham) primary schools will, on average, gain.

Where schools are currently funded more generously than the proposed national funding formula would do these schools on average are more likely to lose from the national funding formula.

Outcomes for individual schools vary from +13.9% to -3% if fully implemented, as detailed in appendix B with 50 % of primaries gaining and 50% seeing a reduction whereas all our secondary schools lose but one. The overall total loss is £645k (0.35% decrease).

In the first year of transition the loss is £400k (0.22% decrease).

### **The impact nationally.**

Appendix C shows the current and post NFF local authority 'league tables'. As a consequence of the NFF Rotherham drops from 32<sup>nd</sup> to 37<sup>th</sup>.

Neighbouring authority Barnsley jumps from 78<sup>th</sup> position to 35<sup>th</sup>, a rank change of 43 along with Derby which moves up from 120<sup>th</sup> to 58<sup>th</sup>, a rank change of 62. This is explained by the affect of PFI where the local formula has had to include a substantial PFI factor, tying up funding that would otherwise be invested in the pupil-led factors. Following the introduction of the NFF, local authorities will be funded for PFI on the basis of historic spend, uprated for inflation and the per-pupil funding will be calculated based on the same national formula therefore reflected in the substantial increase.

### **Re-alignment exercise**

The DfE will be holding a further DSG baselining exercise in late March and early April 2017. This exercise will inform a starting position for the first year of the schools and high needs national funding formulae for 2018 to 2019.

### Appendix A - Regional illustrative impact (for information)

Proposed National Funding Formula Variations to Yorks & Humber - Transitional Period (2018-19)					
First Year	Schools	High Needs	Central Services	Total	Ranking
Barnsley	3,136,381	566,338	21,794	3,724,514	4
Bradford	-2,868,461	1,566,140	52,438	-1,249,883	14
Calderdale	1,229,335	477,457	22,442	1,729,234	9
Doncaster	1,533,894	785,923	30,832	2,350,649	7
East Riding of Yorkshire	3,524,535	575,460	-39,772	4,060,223	3
Kingston upon Hull City of	2,276,578	711,360	-39,606	2,948,331	6
Kirklees	-3,352,909	896,544	-51,643	-2,508,008	15
Leeds	6,762,908	1,647,627	60,288	8,470,822	1
North East Lincolnshire	-465,914	463,860	-19,493	-21,547	12
North Lincolnshire	583,419	422,033	12,257	1,017,710	10
North Yorkshire	3,344,574	0	-64,043	3,280,531	5
Rotherham	-400,352	664,789	19,966	284,403	11
Sheffield	4,631,903	1,357,650	48,276	6,037,829	2
Wakefield	-1,830,729	704,452	32,293	-1,093,983	13
York	2,142,124	0	-17,401	2,124,722	8
Proposed National Funding Formula Variations to Yorks & Humber - Fully Implemented (2019-20)					
Full Year	Schools	High Needs	Central Services	Total	Ranking
Barnsley	9,112,343	2,764,965	83,887	11,961,194	3
Bradford	-5,334,712	8,155,339	641,551	3,462,178	9
Calderdale	1,687,989	1,654,398	90,697	3,433,084	10
Doncaster	3,276,258	2,002,948	74,879	5,354,086	7
East Riding of Yorkshire	5,180,516	2,118,895	-319,426	6,979,986	5
Kingston upon Hull City of	4,685,927	2,637,951	-440,993	6,882,886	6
Kirklees	-6,388,071	5,962,101	-143,533	-569,503	15
Leeds	12,640,833	8,840,375	60,288	21,541,495	1
North East Lincolnshire	-1,205,799	802,830	-83,949	-486,918	14
North Lincolnshire	1,275,224	623,010	213,042	2,111,276	12
North Yorkshire	7,445,554	0	-323,572	7,121,982	4
Rotherham	-645,337	2,900,429	435,143	2,690,235	11
Sheffield	14,138,482	6,580,341	262,085	20,980,908	2
Wakefield	-3,365,451	3,970,238	116,998	721,786	13
York	4,716,869	0	-22,045	4,694,824	8

## Appendix B Illustrative NFF outcome for Rotherham schools

	The school's baseline funding is the total core funding received through the schools block and MFG in 2016-17 (or 2016/17 if an academy). Other grants/funding sources are excluded.	These columns show illustrative NFF funding if the proposed formula had been implemented in full and without any transitional protections in 2016-17. We use pupil numbers and characteristics from 2016-17 to illustrate the NFF impact, and compare to the school's baseline funding, including MFG.		In the first year of transition towards the formula, LAs will continue to determine funding locally. This column illustrates the change in the amount the department would allocate to LAs in respect of each school, taking into account the maximum change proposed in NFF year 1 (gains of up to 3% and an MFG of -1.5% per pupil).	
	Baseline funding	Illustrative NFF funding if formula implemented in full in 2016-17, without transitional protections		Illustrative NFF funding in the first year of transition	
School Name	Funding the school received in 2016-17 or 2016/17	Illustrative total NFF funding	Percentage change compared to baseline	Illustrative NFF year 1 funding	Percentage change compared to baseline
Thurcroft Junior Academy	£908,000	£884,000	-2.6%	£896,000	-1.3%
Coleridge Primary	£1,021,000	£1,101,000	7.9%	£1,046,000	2.5%
East Dene Primary	£1,370,000	£1,493,000	9.0%	£1,405,000	2.6%
Badsley Primary	£2,232,000	£2,389,000	7.0%	£2,294,000	2.8%
Blackburn Primary	£1,092,000	£1,063,000	-2.7%	£1,078,000	-1.3%
Broom Valley	£1,696,000	£1,815,000	7.0%	£1,743,000	2.7%
Eastwood Village					
Brookfield	£994,000	£1,041,000	4.7%	£1,021,000	2.7%
Ferham	£987,000	£1,062,000	7.6%	£1,010,000	2.3%
Thrybergh	£846,000	£826,000	-2.4%	£835,000	-1.3%
Monkwood	£1,375,000	£1,433,000	4.3%	£1,413,000	2.8%
Wickersley Northfield	£1,501,000	£1,460,000	-2.8%	£1,480,000	-1.4%
Herringthorpe Junior	£1,259,000	£1,296,000	2.9%	£1,293,000	2.7%
High Greave Junior	£862,000	£977,000	13.3%	£885,000	2.6%
High Greave Infant	£659,000	£700,000	6.3%	£675,000	2.5%
Redscope	£1,364,000	£1,419,000	4.0%	£1,401,000	2.7%
Kimberworth	£955,000	£998,000	4.5%	£978,000	2.4%
Meadow View	£1,113,000	£1,205,000	8.2%	£1,141,000	2.5%
Maltby Manor	£1,323,000	£1,326,000	0.2%	£1,326,000	0.2%
Thornhill	£1,371,000	£1,477,000	7.7%	£1,406,000	2.5%
Thorpe Hesley	£1,675,000	£1,630,000	-2.7%	£1,653,000	-1.3%
Herringthorpe Infant	£1,015,000	£1,022,000	0.7%	£1,022,000	0.7%
Roughwood	£1,012,000	£1,062,000	4.9%	£1,038,000	2.6%
Sitwell Junior	£1,193,000	£1,161,000	-2.7%	£1,177,000	-1.4%
Rockingham	£1,171,000	£1,211,000	3.5%	£1,202,000	2.7%
Sitwell Infant	£877,000	£887,000	1.2%	£887,000	1.2%
Aston Fence	£834,000	£813,000	-2.5%	£823,000	-1.3%
Swallownest	£778,000	£759,000	-2.5%	£769,000	-1.3%
Bramley Sunnyside Junior	£1,209,000	£1,203,000	-0.5%	£1,203,000	-0.5%
Brampton Cortonwood	£565,000	£551,000	-2.4%	£558,000	-1.2%
Brinsworth Manor Junior	£1,145,000	£1,170,000	2.1%	£1,170,000	2.1%
Brinsworth Manor Infant	£865,000	£898,000	3.8%	£887,000	2.6%
Dalton Listerdale	£912,000	£888,000	-2.6%	£900,000	-1.3%
Dinnington	£1,178,000	£1,160,000	-1.5%	£1,162,000	-1.3%
Harthill	£684,000	£667,000	-2.5%	£675,000	-1.2%
Crags Community	£1,811,000	£1,878,000	3.7%	£1,858,000	2.6%
Ravenfield	£772,000	£752,000	-2.6%	£762,000	-1.3%
Rawmarsh Ashwood	£790,000	£837,000	5.8%	£811,000	2.6%
Rawmarsh Rosehill Junior	£901,000	£928,000	2.9%	£925,000	2.6%
Rawmarsh Ryecroft Infant	£663,000	£671,000	1.1%	£671,000	1.1%
Kilnhurst	£894,000	£874,000	-2.3%	£883,000	-1.3%
Swinton Queen	£1,206,000	£1,247,000	3.4%	£1,237,000	2.6%
Laughton	£697,000	£680,000	-2.5%	£689,000	-1.2%
Wales	£906,000	£882,000	-2.6%	£894,000	-1.3%
Kiveton Park Infant	£624,000	£649,000	3.9%	£639,000	2.4%
Kiveton Park Meadows	£805,000	£784,000	-2.6%	£794,000	-1.3%
Wath Victoria	£972,000	£981,000	0.9%	£981,000	0.9%



	The school's baseline funding is the total core funding received through the schools block and MFG in 2016-17 (or 2016/17 if an academy). Other grants/funding sources are excluded.	These columns show illustrative NFF funding if the proposed formula had been implemented in full and without any transitional protections in 2016-17. We use pupil numbers and characteristics from 2016-17 to illustrate the NFF impact, and compare to the school's baseline funding, including MFG.		In the first year of transition towards the formula, LAs will continue to determine funding locally. This column illustrates the change in the amount the department would allocate to LAs in respect of each school, taking into account the maximum change proposed in NFF year 1 (gains of up to 3% and an MFG of -1.5% per pupil).	
	<b>Baseline funding</b>	<b>Illustrative NFF funding if formula implemented in full in 2016-17, without transitional protections</b>		<b>Illustrative NFF funding in the first year of transition</b>	
School Name	Funding the school received in 2016-17 or 2016/17	Illustrative total NFF funding	Percentage change compared to baseline	Illustrative NFF year 1 funding	Percentage change compared to baseline
Aston Lodge	£787,000	£792,000	0.6%	£792,000	0.6%
Wath Central	£1,686,000	£1,720,000	2.0%	£1,720,000	2.0%
Whiston J & I	£801,000	£781,000	-2.4%	£790,000	-1.3%
Bramley Sunnyside Infant	£902,000	£901,000	-0.1%	£901,000	-0.1%
Anston Park Junior	£1,055,000	£1,068,000	1.2%	£1,068,000	1.2%
Thurcroft Infant	£793,000	£827,000	4.2%	£814,000	2.5%
Maltby Lilly Hall	£1,433,000	£1,393,000	-2.8%	£1,413,000	-1.4%
Springwood Junior	£723,000	£758,000	4.8%	£742,000	2.5%
Sandhill Primary	£870,000	£893,000	2.7%	£893,000	2.6%
Anston Park Infant	£851,000	£841,000	-1.2%	£841,000	-1.2%
Bramley Grange	£1,106,000	£1,081,000	-2.3%	£1,091,000	-1.4%
Todwick	£752,000	£733,000	-2.5%	£743,000	-1.3%
Brinsworth Whitehill	£1,127,000	£1,115,000	-1.1%	£1,115,000	-1.1%
Rawmarsh Thorogate	£775,000	£796,000	2.7%	£794,000	2.5%
Whiston Worry Goose	£813,000	£792,000	-2.6%	£802,000	-1.3%
Maltby Redwood	£784,000	£764,000	-2.6%	£774,000	-1.3%
Catcliffe	£771,000	£753,000	-2.3%	£761,000	-1.3%
West Melton	£707,000	£689,000	-2.5%	£698,000	-1.2%
Brinsworth Howarth	£767,000	£755,000	-1.5%	£757,000	-1.3%
Aughton	£717,000	£699,000	-2.5%	£708,000	-1.3%
Anston Greenlands	£801,000	£789,000	-1.5%	£790,000	-1.3%
Anston Hillcrest	£856,000	£834,000	-2.6%	£845,000	-1.3%
Flanderwell	£1,098,000	£1,069,000	-2.7%	£1,083,000	-1.3%
Aston Hall	£977,000	£951,000	-2.6%	£964,000	-1.3%
Woodsetts	£743,000	£725,000	-2.5%	£734,000	-1.3%
Greasbrough	£875,000	£905,000	3.4%	£898,000	2.6%
St Ann's	£1,639,000	£1,867,000	13.9%	£1,683,000	2.7%
Canklow Woods	£979,000	£953,000	-2.6%	£966,000	-1.3%
Anston Brook	£604,000	£590,000	-2.4%	£597,000	-1.2%
Kilnhurst St Thomas CofE	£800,000	£805,000	0.6%	£805,000	0.6%
Wentworth CofE	£526,000	£514,000	-2.3%	£520,000	-1.2%
St Mary's Catholic	£803,000	£794,000	-1.2%	£794,000	-1.2%
Wath CofE	£997,000	£1,020,000	2.3%	£1,020,000	2.3%
Thrybergh Fullerton	£499,000	£493,000	-1.2%	£493,000	-1.2%
Laughton All Saints CofE	£472,000	£461,000	-2.3%	£466,000	-1.1%
Brampton Ellis CofE	£1,507,000	£1,506,000	-0.1%	£1,506,000	-0.1%
St Alban's CofE	£762,000	£742,000	-2.6%	£752,000	-1.3%
Aston All Saints CofE	£792,000	£771,000	-2.6%	£782,000	-1.3%
Trinity Croft CofE	£523,000	£556,000	6.4%	£535,000	2.4%
St Mary's Catholic	£730,000	£754,000	3.4%	£748,000	2.5%
St Gerard's Catholic	£508,000	£524,000	3.0%	£520,000	2.4%
Our Lady and St Joseph's	£807,000	£824,000	2.1%	£824,000	2.1%
St Joseph's Catholic	£644,000	£703,000	9.2%	£660,000	2.5%
St Joseph's Catholic	£757,000	£808,000	6.8%	£776,000	2.6%
St Bede's Catholic	£1,053,000	£1,100,000	4.5%	£1,081,000	2.7%
Treeton CofE	£1,063,000	£1,097,000	3.2%	£1,091,000	2.7%
Swinton Fitzwilliam	£1,174,000	£1,152,000	-1.9%	£1,159,000	-1.3%

	The school's baseline funding is the total core funding received through the schools block and MFG in 2016-17 (or 2016/17 if an academy). Other grants/funding sources are excluded.	These columns show illustrative NFF funding if the proposed formula had been implemented in full and without any transitional protections in 2016-17. We use pupil numbers and characteristics from 2016-17 to illustrate the NFF impact, and compare to the school's baseline funding, including MFG.		In the first year of transition towards the formula, LAs will continue to determine funding locally. This column illustrates the change in the amount the department would allocate to LAs in respect of each school, taking into account the maximum change proposed in NFF year 1 (gains of up to 3% and an MFG of -1.5% per pupil).	
School Name	Baseline funding Funding the school received in 2016-17 or 2016/17	Illustrative NFF funding if formula implemented in full in 2016-17, without transitional protections Illustrative total NFF funding      Percentage change compared to baseline		Illustrative NFF funding in the first year of transition Illustrative NFF year 1 funding      Percentage change compared to baseline	
Clifton	£5,913,000	£6,294,000	6.4%	£6,072,000	2.7%
Rawmarsh	£4,762,000	£4,622,000	-2.9%	£4,692,000	-1.5%
Oakwood High School	£5,536,000	£5,373,000	-2.9%	£5,455,000	-1.5%
Winterhill School	£7,134,000	£6,946,000	-2.6%	£7,043,000	-1.3%
Wingfield Academy	£4,536,000	£4,419,000	-2.6%	£4,480,000	-1.2%
Wath Comprehensive	£8,607,000	£8,379,000	-2.7%	£8,497,000	-1.3%
Wickersley School	£9,029,000	£8,786,000	-2.7%	£8,912,000	-1.3%
Thrybergh Academy	£4,200,000	£4,093,000	-2.6%	£4,149,000	-1.2%
Aston Academy	£7,808,000	£7,577,000	-3.0%	£7,692,000	-1.5%
Dinnington High School	£5,290,000	£5,135,000	-2.9%	£5,212,000	-1.5%
Swinton Academy	£4,276,000	£4,153,000	-2.9%	£4,215,000	-1.4%
Brinsworth Academy	£6,296,000	£6,111,000	-2.9%	£6,204,000	-1.5%
Wales High School	£7,017,000	£6,810,000	-3.0%	£6,914,000	-1.5%
Saint Pius X Catholic	£3,565,000	£3,461,000	-2.9%	£3,513,000	-1.4%
St Bernard's Catholic	£3,887,000	£3,774,000	-2.9%	£3,830,000	-1.5%
Maltby Academy	£4,851,000	£4,709,000	-2.9%	£4,780,000	-1.5%





## Appendix D – DfE Consultation Questions

1. In designing our national funding formula, we have taken careful steps to balance the principles of fairness and stability. Do you think we have struck the right balance?

*Difficult to answer based on the evidence presented.*

2. Do you support our proposal to set the primary to secondary ratio in line with the current national average of 1:1.29, which means that pupils in the secondary phase are funded overall 29% higher than pupils in the primary phase?

*Simply using the national average without any justification seems unfair. Historically Rotherham's ratio has been significantly higher which may be reflected in our attainment outcomes at secondary level. We do understand the need to reduce our ratio as it is one of the highest nationally but you have presented no evidence to support setting it at 1:1.29 other than a national average.*

3. Do you support our proposal to maximise pupil-led funding, so that more funding is allocated to factors that relate directly to pupils and their characteristics?

*Yes as it is in line with our current proportion*

4. Within the total pupil-led funding, do you support our proposal to increase the proportion allocated to the additional needs factors (deprivation, low prior attainment and English as an additional language)?

*The summary of LA's spend through formula factors for in 2016-17 indicates that 76% is allocated to basic per-pupil funding (AWPU) and 13% is allocated to additional needs factors (deprivation, low prior attainment and EAL).*

*The formula will recognise educational disadvantage in its widest sense including those who are not eligible for the pupil premium but whose families may be only just about managing. It increases the total spend on additional needs factors compared to the funding explicitly directed through these factors in the current system We are therefore proposing to increase the proportion of the total schools block funding allocated to additional needs to 18%, with 73% allocated to basic per-pupil funding (AWPU).*

*As the increase is at the detriment of the per-pupil funding and considering schools also receive PPG for deprivation could the proposed increase be less of an increase in order to prevent those schools attracting relatively low levels of additional needs funding have confidence that the basic funding is sufficient to cover the school costs.*

5. Do you agree with the proposed weightings for each of the additional needs factors?

*No evidence to support the proposed weightings therefore unable to comment. Understand the introduction of the IDACI driver as relative to just managing families but not sure why the weighting in favour of secondary schools especially in EAL.*

6. Do you have any suggestions about potential indicators and data sources we could use to allocate mobility funding in 2019-20 and beyond?

*Ensure mobility data collected robust and confirm accuracy by adjusting for newly converted academies and the early registering of Year 7 pupils*

7. Do you agree with the proposed lump sum amount of £110,000 for all schools?

Yes

8. Do you agree with the proposed amounts for sparsity funding of up to £25,000 for primary schools and up to £65,000 for secondary, middle and all-through schools?

*No views - as not relative to Rotherham*

9. Do you agree that lagged pupil growth data would provide an effective basis for the growth factor in the longer term?

*The growth factor will be based on LA's historic spend in 2018-19. For the longer term we intend to develop a more sophisticated measure.*

*Yes as an interim.*

10. Do you agree with the principle of a funding floor that would protect schools from large overall reductions as a result of this formula? This would be in addition to the minimum funding guarantee.

Yes

11. Do you support our proposal to set the floor at minus 3%, which will mean that no school will lose more than 3% of their current per-pupil funding level as a result of this formula?

Yes

12. Do you agree that for new or growing schools the funding floor should be applied to the per-pupil funding they would have received if they were at full capacity?

Yes

13. Do you support our proposal to continue the minimum funding guarantee at minus 1.5% per pupil? This will mean that schools are protected against reductions of more than 1.5% per pupil per year.

*Reasonable and consistent with many previous years*

14. Are there further considerations we should be taking into account about the proposed schools national funding formula?

15. Do you agree that we should allocate 10% of funding through a deprivation factor in the central school services block?

16. Do you support our proposal to limit reductions on local authorities' central school services block funding to 2.5% per pupil in 2018-19 and in 2019-20?

17. Are there further considerations we should be taking into account about the proposed central school services block formula?

**Vera Njelic: Principal Finance Officer**